

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
7 December 2000 (07.12.2000)

PCT

(10) International Publication Number  
WO 00/72777 A1

(51) International Patent Classification<sup>7</sup>: A61C 8/00, A61L 27/04, 27/54  
Stabbegatan 2A, S-416 80 Göteborg (SE). LAUSMAA, Jukka [SE/SE]; Trojenborgsplatsen 3, S-415 03 Göteborg (SE).

(21) International Application Number: PCT/SE00/01027  
(74) Agent: OLSSON, Gunnar; Nobel Biocare AB (publ), Box 5190, S-402 26 Göteborg (SE).

(22) International Filing Date: 23 May 2000 (23.05.2000)

(25) Filing Language: English  
(81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TI, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(26) Publication Language: English

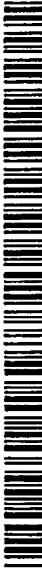
(30) Priority Data:  
9901971-3 31 May 1999 (31.05.1999) SE  
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (for all designated States except US): NOBEL BIOCARE AB (publ) [SE/SE]; Box 5190, S-402 26 Göteborg (SE).

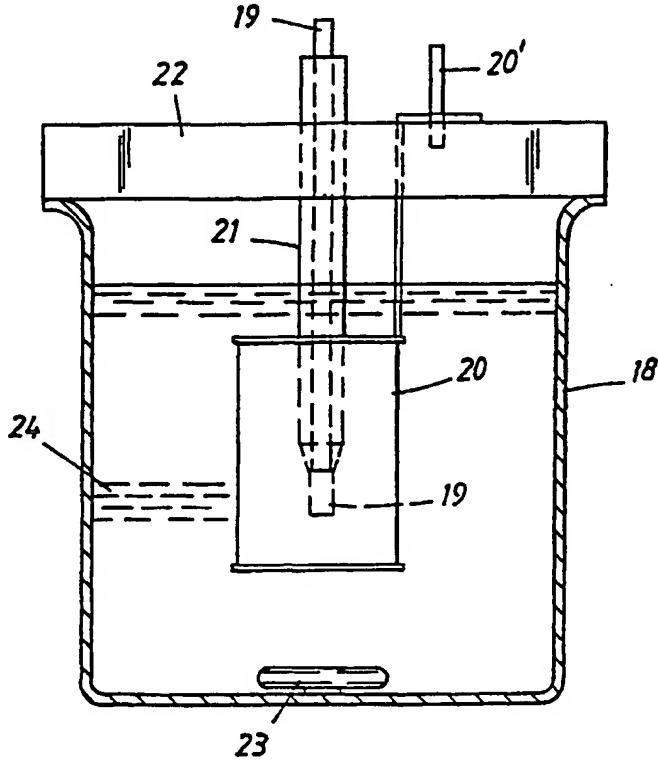
(72) Inventors; and  
(75) Inventors/Applicants (for US only): HALL, Jan [SE/SE];

[Continued on next page]

(54) Title: IMPLANT, METHOD FOR PRODUCING THE IMPLANT, AND USE OF THE IMPLANT



WO 00/72777 A1



(57) Abstract: An implant comprises or consists of titanium and has one or more surfaces which can be applied in or on a bone growth area. One or more of the said surfaces are arranged with a depot for bone-growth-initiating or bone-growth-stimulating substance, which depot is formed by a pore arrangement in a relatively thick oxide layer on the titanium. The substance is acted on, for a considerable period of time, by one or more release functions for the substance which permit a controlled or optimized release of substance to the surrounding tissue or bone growth area.



**Published:**

— *With international search report.*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## TITLE

Implant, method for producing the implant, and use of  
5 the implant

## TECHNICAL FIELD

The present invention relates to an implant which can be used in dentistry, for example. The  
10 implant comprises or consists of titanium and has one or more surfaces which can be applied in or on one or more bone growth areas. One or more of the said surfaces are arranged with a depot for bone-growth-initiating or bone-growth-stimulating substance TS, for  
15 example BMP (e.g. type 2 or type 4), where BMP stands for Bone Morphogenetic Proteins, and which depot is formed by a pore arrangement in a relatively thick oxide layer on the titanium.

The invention also relates to an implant for  
20 application in a hole formed in bone, for example the jaw bone. It also relates to a method for producing an implant intended to be applied in a hole of the said type. The invention also concerns the use of a highly porous and thick titanium oxide layer to which a bone-growth-initiating and/or a bone-growth-stimulating substance, preferably in the form of BMP, has been added.

The invention also relates to a method for producing, on an implant comprising or consisting of  
30 titanium, and by means of anodic oxidation, relatively thick oxide layers on one or more titanium surfaces which are intended to be placed against or arranged adjacent to one or more bone growth areas. At least part or parts bearing the said surface or surfaces  
35 is/are intended to be prepared and immersed in electrolyte, and the implant is brought into contact with an electrical energy source above the electrolyte surface, and the oxidation process is established by also connecting to the energy source a counter-

- 2 -

electrode which is arranged in the electrolyte.

PRIOR ART

It is already known that, under certain 5 conditions, implants made of commercially pure (99.6%) titanium permit incorporation of surrounding bone tissue so that intimate contact can be obtained between implant and tissue. The intimate contact between the implant and normal bone tissue, often referred to as 10 osseointegration, in turn permits good and permanent anchoring of the implant, which can be used in various clinical treatment situations. Titanium implants anchored in bone can be used as securing elements for tooth replacements and tooth prostheses, or for other 15 types of prostheses or devices (cf. finger joints, prosthetic eyes, prosthetic ears, hearing aids). The reason for the good bone incorporation results which are achieved in particular with titanium implants produced by turning or milling can be seen to lie in 20 the favourable combination of structure (topography and surface roughness) and chemical compositions to which the manufacturing method gives rise. In this connection, reference may be made to Swedish Patent 7902035-0. The abovementioned titanium surfaces 25 typically have a surface roughness (Ra) in the range of 0.1 - 1  $\mu\text{m}$ . The chemical composition of the surface is essentially titanium dioxide ( $\text{TiO}_2$ ) which is present in the form of a thin (< 10 nm) oxide layer. The surface is also indicated as having a porosity in the range of 30 10 - 1000 nm. If the pore density of the previously known surfaces is studied more closely under a scanning electron microscope, it emerges that the pore density of these surfaces is relatively low, and that the pore depth in the oxide can never exceed 10 nm.

35 A number of experimental studies have been conducted in order to investigate bone incorporation around types of titanium surfaces other than those which have been turned or milled. In these studies, different surface preparation methods have been used to

- 3 -

modify various properties, such as surface topography, oxide thickness, surface composition, etc., of the titanium surfaces. Examples of methods which may be used for modifying the surface topography of titanium 5 implants are: sand-blasting, plasma spraying, particle sintering, electro-polishing, and anodic oxidation. The results from these studies show that the surface topography at different levels can affect bone incorporation and the mechanical anchoring of the 10 implant, both in terms of quality and quantity, cf. the said patent specification. It has been shown, for example, that threaded titanium implants with sand-blasted surfaces and with surface roughness (Ra) at the micrometre level can give rise to higher twisting 15 forces than surfaces which have only been turned or milled. It has also been demonstrated that certain types of electrochemically modified titanium surfaces can give rise to more rapid bone incorporation than the titanium surfaces which have been turned or milled. 20 The reason for this improvement probably lies in a combination of a more favourable surface topography and a greater oxide thickness. The last-mentioned surfaces can be considered to be heterogeneous and consist essentially of smooth areas with a relatively dense 25 oxide ( $TiO_2$ ), and a minority of the areas having a surface roughness and a certain oxide porosity at the level of about 1  $\mu m$ . The increased oxide thickness of these surfaces, about 200 nm, can be expected to result in an improved corrosion resistance of the material, 30 and thus a favourable effect on account of the lower rate of titanium ion release.

Against the background of the known facts set out above, it is thus possible to advance the hypothesis that a high oxide porosity and high oxide 35 thickness can have a positive effect on the rate of bone incorporation around titanium implants. It is also known that the biological processes surrounding incorporation in connection with the said implants can be influenced by using various types of substances.

- 4 -

Thus, it is known that the rate at which bone is formed can be affected to a large extent by growth factors which are produced by means of substances which initiate or stimulate bone growth. Examples which may 5 be mentioned are substances belonging to the superfamily TGF- $\beta$ , and other bone matrix proteins.

It is known per se to produce different types of porous surfaces or layers from titanium-based material. Reference may be made, inter alia, to the 10 article published by Dunn et al. and entitled "Gentamicin sulfate attachment and release from anodized Ti-6Al-4V orthopedic materials" in "Journal of Biomedical Materials Research, Vol. 27, 895-900 (1993)".

15 In this article, general reference is made to the fact that it is possible to produce porous titanium and oxide layers using so-called anodic oxidation, which is an electrochemical process. In this connection, it is proposed that the layer or layers be 20 used as a depot or store for antibiotic substances.

Reference may also be made to the article "Formation and characterization of anodic titanium oxide films containing Ca and P" by Hitoshi Ishizawa and Makoto Ogino in "Journal of Biomedical Materials 25 Research, Vol. 29, 65-72 (1995)". This article shows that it is already known to use an electrochemical process to produce relatively thick titanium oxide layers provided with a pore arrangement which gives the layers a highly porous structure. It is also mentioned 30 in this connection that the layers can be used as supports for substance for rapid bone growth.

Porous surface layers have thus previously been produced on titanium surfaces intended as implant material. In most cases, however, the aim of this 35 preparation has been other than that concerned in the present invention. Thus, it has previously been proposed to develop calcium-containing and phosphorus-containing oxide layers which, by means of further treatment, can be made to precipitate hydroxyapatite

- 5 -

crystals on the oxide layer. Reference may also be made to US Patent Specifications 4,330,891 and 5,354,390 and to European Patent Specification 95102381.1 (676 179).

## 5 DESCRIPTION OF THE INVENTION

### TECHNICAL PROBLEM

In implants of the type in question here, there is a need to achieve shorter incorporation processes between bone and implant, especially in the case of 10 soft bone structures or bone qualities. The properties of the titanium material and of the growth substance must be used as far as possible to achieve this. The invention is based, *inter alia*, on this problem.

It is known *per se* to use implants with a screw 15 connection to be anchored in the jaw bone. In this regard, it is known that the quality of the jaw bone can vary considerably. In the inner parts of the jaw bone in particular, the bone material can be extremely soft and/or present relatively thin trabeculae. In such 20 cases, it is preferable to be able to effect reliable implant attachments. The invention also solves this problem and proposes effective implants and methods for anchoring the implants in soft bone material too.

In connection with the invention, methods are 25 used for producing the relatively thick and porous material. In this regard, it is important that clear and effective methods can be used. The invention solves this problem too.

Previous methods and arrangements were based on 30 the problem of bone growth on the implant, and less consideration was given to the interaction between the implant and the bone material in question. It is important to establish an effective bioactive surface between the implant and the dentine or equivalent bone. 35 The invention solves this problem too.

It is also important to achieve an effective formation of substance in the porous surface or the structure which is to function as a depot for the

- 6 -

growth substance in question. The invention solves this problem too.

It is of great advantage that the porous structure functioning as depot for growth-initiating or 5 growth-stimulating substance can intervene, in a controlled manner, in the release of the substance during a desired or predetermined period of time. It can thus be advantageous to have a better controlled substance release during a certain time span which can 10 be chosen depending on the case in question. The invention solves this problem too.

It is of great advantage in practice to be able to advance the implant technique a further stage in the technical field, where the practical application uses 15 bioactive surfaces instead of simply the properties of the titanium material itself, as was previously the case. By stimulating bone growth in connection with implants, it is possible, in the field of dentistry, among others, to create possibilities for controlling 20 and improving the problems of incorporation. The invention solves this problem too.

It has been shown that one can expect effective incorporation processes in connection with implants for application in holes made in bone, primarily in the jaw 25 bone. By delivering one or more bioactive substances directly into a surrounding tissue or bone environment which is to be strengthened, stimulation factors can be effectively achieved. The invention is intended in particular to solve this problem too.

30 Implants for holes made in the jaw bone are in most cases provided with one or more threads via which the implant is to be mechanically anchored in the hole by means of screwing. Providing a certain degree of irregularity on the surface structure which is to be 35 screwed in imposes requirements in terms of greater screwing forces, which in themselves must not counteract the support function for the bioactive substance. The invention solves this problem too.

- 7 -

It is known that the jaw bone status varies considerably from one case to another and that, in the case of soft and/or thin jaw bone, it is important to be able to create arrangements which strengthen the 5 bone growth in these areas. The invention aims to solve this problem too.

To create a high degree of porosity in the oxide layer in question, it is important to use the correct oxidation processes. These can be crucial in 10 determining whether or not one succeeds in achieving the desired results. The invention solves this problem too.

#### SOLUTION

15 The feature which can principally be regarded as characterizing an implant according to the invention is that the substance, for a period of time which can be between 1 and 2 weeks, for example, is acted on by one or more release functions which permit a controlled 20 or optimal release of substance to the respective surrounding tissue or tissue/bone growth areas. If the incorporation function or bone growth function is promoted by this, other substance release processes can also be established.

25 In one illustrative embodiment, the implant works with more than one, i.e. two or more, release arrangements which are produced by means of different pore arrangements within one or more areas of one or more of the initially mentioned surfaces. Pores with 30 different pore characteristics can be used. Thus, for example, open or more or less closed pores, different pore depths, different pore densities, etc., can be arranged within one or more areas of the said surfaces. The different areas can also be provided with different 35 pore characteristics.

It is also important that the oxide layer on the titanium is composed in an advantageous manner. In one embodiment, the surface of the oxide layer will comprise about 20% titanium, about 55% oxygen and about

- 8 -

20% carbon. The oxide layer as such will be highly porous.

In a preferred embodiment, the implant is of the type which comprises one or more threads. The 5 implant will bear the said oxide layers or surfaces at least in connection with the said threads.

In a preferred embodiment, the oxide layer has a surface roughness of about 1 - 5  $\mu\text{m}$  or less, and has a thickness preferably in the range of 2 - 10  $\mu\text{m}$ . The 10 oxide layer must be highly porous, with pore diameters in the range of 0.01 - 10  $\mu\text{m}$ .

Another feature which can principally be regarded as characterizing an implant is that it comprises a titanium portion which can cooperate with a 15 hole formed in a bone, and that the titanium portion is designed with one or more very thick titanium oxide layers having surfaces which can be placed against the bone in the hole formation. Further characteristics are that each oxide layer is provided with a pore 20 arrangement which functions as a depot for bone-growth-initiating and/or bone-growth-stimulating substance, and that, when the depot is filled with substance and the implant is in position in the hole, a release function for releasing the substance to the surrounding 25 tissue or bone comes into operation.

The release function can be controlled for a chosen, essentially protracted period of time. The release function can be controlled by the choice of pore arrangement and pore characteristics in or on the 30 said layer.

The feature which can principally be regarded as characterizing a method according to the invention is that the implant is produced, for example by means of machining, with a portion made of titanium which has 35 surfaces which can be placed against the bone when the implant is in position in the hole. The said titanium on the said surface or surfaces is subjected to anodic oxidation to an extent which gives a highly porous and relatively thick oxide layer on each surface concerned.

- 9 -

A bone-growth-initiating or bone-growth-stimulating substance TS, which can be BMP, is applied to the said porous and thick layers, for example by saturation or by immersion in or dropping on and/or painting on of 5 the substance. The implant is placed in its position in the hole, resulting in the process of release of the substance to the bone being started upon insertion, the process being triggered by components in the tissue and/or bone.

10 In one embodiment, the implant, at the part or parts bearing the said surfaces, is provided with one or more threads, via which the implant is screwed into the bone. In one embodiment, the oxidized layer and its associated pore system are immersed for a chosen time, 15 for example 1 hour, in a container holding the substance, so that effective penetration of the substance into the porous layer takes place.

The novel use according to the invention is characterized in that the highly porous and thick 20 titanium oxide layer, to which bone-growth-initiating or bone-growth-stimulating substance has been added, is used on implants which can be inserted into holes in bone, preferably the jaw bone.

25 In a preferred embodiment, the use is characterized in that the porous layer with added substance is used on implants with thread or threads, joint implants, etc.

Another feature which can principally be regarded as characterizing an implant according to the 30 invention is that the oxide layer has a thickness in the range of 1 - 20  $\mu\text{m}$ , preferably 2 - 20  $\mu\text{m}$ . In a preferred embodiment, the oxide layer has a surface roughness in a range of 0.4 - 5  $\mu\text{m}$ . In a further preferred embodiment, the oxide layer is highly porous, 35 with a pore number of  $1 \times 10^7$  -  $1 \times 10^{10}$  pores/ $\text{cm}^2$ . Each surface essentially has pores with diameter sizes in the range of 0.1 - 10  $\mu\text{m}$ . The total pore volume is preferably within the range of  $5 \times 10^{-2}$  to  $10^{-5} \text{ cm}^3$ .

- 10 -

A method for carrying out the abovementioned anodic oxidation can principally be regarded as being characterized by the fact that diluted inorganic acids and/or diluted organic acids and/or small quantities of 5 hydrofluoric acid or hydrogen peroxide are added to the electrolytic composition, and the energy source is chosen to operate at a voltage value or voltage values in the range of 150 - 400 volts.

In one embodiment, the voltage can be varied 10 for the same implant at different times in order to create different pore sizes within the same surface areas. In addition, the position of the implant in the electrolyte can be changed, together with the composition of the electrolyte and/or the voltage, in 15 order to create areas with different layer thicknesses, porosities or pore characteristics on the implant.

#### ADVANTAGES

By means of what has been described above, a 20 new dimension in implantation techniques is achieved, especially in the area of dentistry. Earlier clinical trials which opened up the way to using bioactive substances can now be given practical application, especially in connection with holes made in bone of low 25 quality with respect to hardness and of low quantity. The invention affords particular advantages in the case of implants applied in holes made in the jaw bone, where the bioactive substance can be given controlled diffusion functions (concentration diffusions) into the 30 surrounding bone material. The incorporation and bone growth period can be controlled and enhanced by a combination of the titanium material itself, the geometrical shape of the implant, and the bioactive substance. Economically advantageous methods can be 35 established on the market, and prepared implants and packages can be made commercially available. Alternatively, the substance and the implant (with its specific porous oxide layer character) can be made

- 11 -

available separately and then assembled in situ according to instructions.

## 5 DESCRIPTION OF THE FIGURES

A presently proposed embodiment of an arrangement, method and use according to the invention will be described below with reference to the attached drawings, in which:

10 Figure 1 shows, in vertical section, parts of a threaded implant anchored by screwing in a jaw bone,

Figure 1a shows, in vertical section and with partial surface enlargement, a thread of the implant according to Figure 1, with an oxide layer having been 15 established on the thread surface,

Figure 1b shows from the side, and in vertical section, part of the implant according to Figures 1 and 1a which has been partially immersed in bioactive substance, the porous layer being saturated with the 20 substance in question,

Figure 2 shows, in diagram form, the release function for bioactive material deposited in the oxide layer,

Figure 3 shows, in perspective, and in a 25 greatly enlarged view, parts of a porous titanium oxide layer on an implant according to Figure 1,

Figure 4 shows, in perspective, parts of a second porous titanium oxide layer according to Figure 1,

30 Figure 5 shows, in diagram form, the pore diameter sizes and the pore number in the layer according to Figure 3,

Figure 6 shows, from above, a first embodiment of the pore character of the oxide layer, produced 35 using a combination of electrolyte, oxidation energy and time,

Figure 7 shows, from above, a second embodiment of the pore character of the titanium oxide,

- 12 -

Figure 8 shows a side view of equipment for anodic oxidation of an implant according to Figure 1.

Figure 9 shows, in diagram form, the voltage and current functions used in association with the 5 oxidation process according to Figure 9, and

Figure 10 shows, in table form, parameters of the titanium oxide layer.

#### DETAILED EMBODIMENT

10 In accordance with what has been described above, the present invention proposes, inter alia, a method by which it is possible to establish a high oxide porosity and oxide thickness on a titanium implant in order to function as a support for a 15 substance which initiates, stimulates and increases the rate at which the implant incorporates in the bone material in question in the human body. The invention is based on the recognition that the rate at which bone forms can to a large extent be influenced by growth 20 factors which are produced using, for example, TGF- $\beta$  and other bone matrix proteins. The invention aims, inter alia, to deliver and release such substances in a controlled manner to the bone surrounding the metal implant. The oxide layer has a high degree of porosity. 25 The pore volumes function as depots for the substances. The relatively large surface area of the pore walls is used to immobilize the substances through adsorption. According to the invention, the implant surface is made 30 of a highly porous titanium oxide which itself has positive properties in respect of incorporation. In order to control the rate of release of the active substances, the present invention proposes that the pore density (i.e. the number of pores per surface unit) and the pore geometry (diameter and depth) are 35 varied in a controlled manner.

Among other things, the present invention concerns a surface layer on a titanium implant which is designed so that its properties themselves have a positive effect on bone incorporation around the

- 13 -

implant and in addition have the function of constituting a support for an effective substrate which is delivered in a controlled manner to the implant surface in order then to release biologically active 5 substances which accelerate the formation of bone around the implant. The surface consists of an oxide layer which largely comprises  $TiO_2$  and has a surface roughness  $R_a$  preferably in the range of 1 - 5  $\mu m$  (for example 4  $\mu m$ ) or less. In addition, the oxide layer 10 will have a thickness which, in one embodiment, can be varied within a range of 1 - 20  $\mu m$ . In exceptional cases, it will be possible to use values of as little as 0.5  $\mu m$ . The oxide layer will also be highly porous, with a large number of open pores per surface unit, and 15 with pore diameters which can be varied in the range of 0.01 - 10  $\mu m$ . In a preferred embodiment, the oxide layer has a thickness in the range of 2 - 20  $\mu m$ . In a further embodiment, the oxide layer has a surface roughness in the range of 0.4 - 5  $\mu m$ . In the said 20 preferred embodiment, the oxide layer is highly porous, with  $1 \times 10^7$  -  $1 \times 10^{10}$  pores/ $cm^2$ . The implant in the preferred embodiment will also have pores with diameter sizes in the range of 0.1 - 10  $\mu m$ . The pore volume is chosen in accordance with the above. This arrangement 25 will be able to be combined with pores which have different characteristics, smaller diameters, more or less closed configurations, and different depths. The resulting porous oxide layer gives rise to two main effects in implant applications in bone. First, the 30 properties of the surface themselves may be expected to result in accelerated incorporation of bone and anchoring of the implant by means of the preferred combination of surface roughness, pore volume, porosity and oxide thickness. In addition, as a second effect, 35 the surface layer can function as a suitable means of immobilizing controlled quantities of biologically active substances which act on the growth process in the bone. The surface layer thus functions as a support for the substance in question. The immobilization can

- 14 -

be effected in principle in different ways, the first being achieved by spontaneous adsorption of molecules in the solution in question onto the surfaces of the pore walls. In a second embodiment, use is made of the 5 fact that the substances in question have a net charge different from zero, which means that adsorption from solution onto the surfaces of the pore walls can be accelerated by means of an electrical field which has been applied by applying a suitable voltage to the 10 sample in a cell. A third way is to press the substances into the pores by pressure, the substance in question being given a suitable viscosity. A fourth way to apply substance is to use a gel support for the substance. The gel support with the substance is 15 applied on or pressed against the porous oxide layer. The gel support is of a highly viscous type. The release or the release function for the substance into the tissue will depend on the geometric configurations of the pores. By controlling the pore geometries or the 20 pore characteristics, different rates of release can be obtained. By different combinations of smaller and larger pores, the release can be programmed to follow a desired sequence over the course of time. This is due to the fact that a high rate of release is obtained in 25 the initial stage from larger pores, and this is followed by slow or slower release, for a longer period of time, from small and/or deep pores.

In Figure 1, reference number 1 indicates parts of a jaw bone in which a hole 2 has been formed. An 30 implant 3 has been screwed into the hole 2 via its threads 3a. The said threads produce a corresponding thread formation 1a in the jaw bone as the implant is screwed into the hole. Alternatively, the hole can be pre-threaded.

35 Figure 1a shows the surface character of a thread 3a' on a very greatly enlarged scale (for the sake of clarity). Reference numbers 4 and 5 indicate oxide layers on surfaces 6 and 7 of the thread part 3a'. The thread or threads is/are turned or milled

- 15 -

and, if appropriate, polished or subjected to another form of machining. The implant 3, 3a' in the present case is assumed to be made of titanium, and the said layers 4 and 5 are titanium oxide layers which are 5 produced in the manner described below. Figure 1a shows a first area 8 of the surface 7. The area in question can comprise pores with different pore sizes, pore depths, etc., in accordance with what has been described above. Figure 1a also shows a second partial 10 enlargement 9 of the surface 6. The different areas 9a and 9b of area 9 can be provided with different pore characters.

In Figure 1b, reference number 10 indicates a container for substance 11 in accordance with what has 15 been described above. In the container, parts of the surface 6' and the titanium oxide layer 4' are shown immersed in the substance 11. Upon immersion in the substance 11, substance penetrates into the porous layer 4' which thereafter, when the implant is removed 20 from the container, functions as a depot or store for the substance which has thus penetrated into it. The immersion or adsorption time is chosen as a function of the configuration of the porous layer. In one embodiment, the layer 4' will, for example, be immersed 25 in the substance for 1 hour (see also description below).

Figure 2 shows different controlled release functions with curves 12, 13 and 14. The curve 12 shows a first release function during a time period which can 30 be chosen to last 1 to 2 weeks. Other courses for the release function can be chosen, as shown by the curves 13 and 14, where the curve 13 decreases more than the curve 12, and where the curve 14 shows an initially powerful release function which decreases relatively 35 quickly. The choice of release function or curve shape can be chosen on the basis of experience of the bone growth process. The first curve shows a relatively slowly decreasing release function.

- 16 -

Figures 3 and 4 show different titanium oxide layer structures 4'' and 4'''. In the structure 4'', the surface has diffraction peaks deriving from the crystal structure for rutile and underlying titanium.

5 The structure 4''' according to Figure 4 has diffraction peaks from the crystal structure for a mixture of anatase, rutile and underlying titanium. The oxide layers according to Figures 3 and 4 have somewhat different relative concentrations. Thus, the surface of

10 the oxide layer according to Figure 3 has a composition of 21.1% Ti, 55.6% and 20.6% C. In addition, there are small amounts of S (0.8%), N (1.4%) and P (0.6%). The composition of the oxide layer according to Figure 4 is 21.3%, 56.0% and 20.5% respectively (and 0.8%, 0.7% and

15 0.6% respectively). The number of pores in the oxide layers shown can be in the range of  $187.6 \times 10^6$ . A total pore volume or porosity can be chosen at around  $21.7 \times 10^{-5} \text{ cm}^3$ . The oxide layers can be saturated in substance, with saturation times of up to 48 hours, for

20 example.

Figure 5 is intended to show, at 15, the pore diameters used in the titanium oxide layer according to Figure 3. This shows the number of pores with diameters in the range of 0.1 - 0.8  $\mu\text{m}$ .

25 Figures 6 and 7 show different embodiments of pore characteristics or pore structures 16 and 17.

The titanium oxide layers according to the above are preferably produced by so-called anodic oxidation, which is an electrochemical process. The

30 principle and procedure for obtaining the layers in question are described with reference to Figures 8 and 9. In Figure 8, a container is indicated by 18. A titanium anode is indicated by 19, and a porous meshed cathode is shown by 20. A Teflon insulation for the

35 titanium anode is shown by 21, and the anodes extend through a Teflon cover 22. A magnetic agitator 23 is also included. The connections for the anode and the cathode are indicated by 19' and 20', respectively. The implant or the parts of the implant to be prepared

- 17 -

is/are preferably mechanically worked by turning, milling, polishing, etc. The implant or the parts in question comprise(s) titanium surfaces which are to be treated in the electrochemical process. The implant or  
5 the parts in question is/are mounted on a holder which is immersed in a bath of electrolyte 24 in the container. The parts of the implant which are not to be treated are masked with a liquid-tight protective cover or alternatively with a suitable lacquer which is  
10 applied on those parts in question which are not to be treated. The implant or its said parts is/are in electrical contact, via the holder, with the connection 19 above the electrolyte surface. In the electrolyte, the said cathode 20 serves as a counter-electrode. This  
15 counter-electrode is made of a suitable material, for example Pt, gold or graphite. The counter-electrode is preferably mounted on the holder in such a way that the whole arrangement is jointly fixed in the electrolyte bath 24. The anodic oxidation is effected by applying  
20 an electrical voltage between the implant/implant part/implant parts and the counter-electrode, whereupon the implant or its part or parts in question is/are given a positive potential. The implant, the implant part/implant parts, the counter-electrode and the  
25 electrolyte constitute an electrochemical cell in which the implant or its respective part forms an anode. The difference in electrical potential between implant/implant part and counter-electrode gives rise to a current of negatively (positively) charged electrolyte  
30 ions to the implant/implant part (counter-electrode). If suitable electrolyte has been chosen, the electrode reactions in the cell result in oxide layers forming on the surface of the implant or implant part. As the electrode reactions also result in gas formation, the  
35 electrolyte should be stirred in a suitable manner, which is done using the said magnetic agitator 23 which prevents gas bubbles from remaining on the electrode surfaces.

The formation of the titanium oxide layer and its final properties are influenced by a number of parameters in the process, for example the electrolyte composition and temperature, the applied voltage and 5 current, the electrode geometry, and the treatment time. The way in which the desired layers are produced is described in more detail below. Examples are also given of how the process parameters affect various properties of the oxide layers and how oxide thickness 10 and porosity can be varied.

The desired layer properties are achieved starting out from mechanically worked surface, which can be turned or polished. The surface is cleaned in a suitable manner, for example by ultrasound cleaning in 15 organic solvents in order to remove impurities from previous production stages. The cleaned implant or the cleaned implant part is secured in the said container, which is secured together with the counter-electrode on the holder. The arrangement can then be lowered into 20 the electrolyte. The two electrodes are then coupled to a voltage source (not shown) and an electrical voltage is applied, whereupon the process starts. The process is ended after the desired time by interrupting the voltage supply.

25 The electrical voltage can be applied in different ways, cf. also Figure 10. In a galvanostatic process, the current is kept constant, and the voltage is allowed to vary according to the resistance in the cell, whereas, in a potentiostatic process, the voltage 30 is kept constant and the current is allowed to vary. The desired layers are preferably formed using a combination of galvanostatic and potentiostatic control. Galvanostatic control is used in a first stage, the voltage being allowed to increase to a 35 preset value. When this voltage value is reached, the process changes over to potentiostatic control. On account of the resistance of the oxide layer which has formed, the current drops in this state.

- 19 -

Figure 9 shows the development of current 25 and voltage 26 over the course of time. The exact appearance of the curves depends on various process parameters and also reflects the formation of the oxide 5 layer and its properties.

Up to a certain voltage, which is dependent on electrolyte, relatively thin ( $< 0.2 \mu\text{m}$ ) oxide layers are obtained, the oxide layer thickness being approximately linearly dependent on the applied 10 voltage, independently of the treatment time after the maximum voltage has been reached. These layers are essentially closed, and only in exceptional cases do they have a partially open porosity. For most electrolytes, the critical voltage is around 100 volts.

15 To achieve the desired porous oxide layers, much higher voltages need to be applied, typically of 150 to 400 volts, depending on the electrolyte. At these voltages, the oxide thickness is no longer linearly dependent on the voltage, and much thicker 20 layers can be produced. For certain electrolytes, the oxide thickness at these voltages is also dependent on the treatment time after the maximum voltage has been reached. Suitable electrolytes for achieving porous 25 layers by this method are diluted inorganic acids (for example sulphuric acid, phosphoric acid, chromic acid), and/or diluted organic acids (for example acetic acid, citric acid) or mixtures of these.

Figures 6 and 7 show examples of porous oxide layers produced according to the above method, at 200 30 volts in 0.35 molar sulphuric acid and, respectively, 300 volts in 0.25 molar phosphoric acid.

The implant which has been treated in sulphuric acid has a surface with a high density of open pores. Some 20% of the surface consists of pores, with sizes 35 (diameters) preferably in the range of 0.1 - 0.5  $\mu\text{m}$ . The thickness of the layer is 2  $\mu\text{m}$ . The implant which has been treated in phosphoric acid has a similar pore density. The pore size distribution can differ considerably. Pore sizes can be chosen preferably in

- 20 -

the range of 0.3 - 0.5  $\mu\text{m}$ , but a good number of larger pores (up to 1.5  $\mu\text{m}$ ) can also be present on the surface. The oxide thickness of this sample is 5  $\mu\text{m}$ .  
5 The implant surface in question can additionally or alternatively be pretreated chemically, for example with hydrogen fluoride (HF).

The table in Figure 10 shows the structures of oxide layers made using different process parameters.

10 The invention is not limited to the embodiment described above by way of example, and instead can be modified within the scope of the attached patent claims and the inventive concept.

## PATENT CLAIMS

1. Implant comprising or consisting of titanium and having one or more surfaces which can be applied in or on tissue areas and/or bone growth areas, one or more of the said surfaces being arranged with a depot for bone-growth-initiating or bone-growth-stimulating substance, which depot is formed by a pore arrangement in a relatively thick oxide layer on the titanium, characterized in that the substance, for a period of time, is acted on by, or works with, one or more release functions which permit a preferably essentially controlled release of substance to the respective surrounding tissue or tissue/bone growth areas.
2. Implant according to Patent Claim 1, characterized in that two or more release arrangements are obtained by means of different pore arrangements within one or more areas of one or more of the said surfaces.
3. Implant according to Patent Claim 1 or 2, characterized in that pores with different pore characteristics, for example open or more or less closed pores, pore depth, pore density, pore volume, etc., are arranged within one or more areas.
4. Implant according to Patent Claim 1, 2 or 3, characterized in that the release function(s) operate(s) with combinations of larger and smaller pores arranged to effect a desired release sequence over time.
5. Implant according to Patent Claim 4, characterized in that larger pores effect a more rapid release, and smaller and/or deep pores effect a delayed release.
- 35 6. Implant according to any of the preceding claims, characterized in that different areas are provided with different pore characteristics.
7. Implant according to any of the preceding patent claims, characterized in that the surface of the

- 22 -

oxide layer comprises about 20% titanium, about 55% oxygen and about 20% carbon, and the layer otherwise consists of titanium dioxide.

8. Implant according to any of the preceding 5 patent claims, characterized in that the oxide layer has a surface roughness of about 1 - 5  $\mu\text{m}$  or less.

9. Implant according to any of the preceding 10 patent claims, characterized in that the oxide layer has a thickness in the range of 1 - 20  $\mu\text{m}$ , preferably 2 - 20  $\mu\text{m}$ .

10. Implant according to any of the preceding 15 patent claims, characterized in that the oxide layer is highly porous, with pore diameters in the range of 0.01 - 10  $\mu\text{m}$ .

11. Implant for application in a hole formed in 20 tissue and/or bone, for example the jaw bone, characterized in that it comprises a titanium portion which can cooperate with the hole formation, in that the titanium portion is designed with one or more very 25 thick titanium oxide layers having surfaces which can be placed against the tissue and/or bone in the hole formation, in that each oxide layer is provided with a pore arrangement which functions as a depot for bone-growth-initiating and/or bone-growth-stimulating substance, for example a substance belonging to the 30 superfamily TGF- $\beta$ , and in that, when the depot is filled with substance and the implant is in position in the hole, a release function for releasing the substance to the bone comes into operation.

12. Implant according to Patent Claim 11, characterized in that the release function is controlled, for a chosen period of time.

13. Implant according to Patent Claim 12, characterized in that the release function is 35 controlled by the choice of pore arrangement and pore characteristics in or on the said layer.

14. Implant according to any of Patent Claims 9 to 13, characterized in that the oxide layer is highly porous.

15. Implant according to any of Patent Claims 9 to 14, characterized in that it is a screw implant which bears the said oxide layers and surfaces on its threads.

5 16. Method for producing an implant intended to be applied in or at a hole formed in tissue and/or bone, preferably the jaw bone, characterized in that the implant is produced, for example by means of machining, with a portion of titanium which has surfaces which can 10 be placed against the bone and/or tissue when the implant is in position in the hole, in that the said titanium on the said surface or surfaces is subjected to anodic oxidation to an extent which gives a highly porous and relatively thick oxide layer on each surface 15 concerned, in that bone-growth-initiating substance or bone-growth-stimulating substance, for example a substance belonging to the superfamily TGF- $\beta$ , is applied to the said porous and thick layers, for example by saturation or immersion, and in that the 20 implant is placed in its position in the hole, resulting in the process of release of the substance to the bone being started by the release of components in the tissue and/or bone.

17. Method according to Patent Claim 16, 25 characterized in that the implant, at the part or parts bearing the said surfaces, is provided with one or more threads, and in that the implant is screwed into the bone.

18. Method according to Patent Claim 16 or 17, 30 characterized in that the oxide layer is immersed in a container holding the substance.

19. Use of a highly porous and thick titanium oxide layer to which bone-growth-initiating and bone-growth-stimulating substance has been added, for example a 35 substance belonging to the superfamily TGF- $\beta$ , characterized in that it is used on implants which can be inserted into holes in tissue and/or bone, preferably the jaw bone.

- 24 -

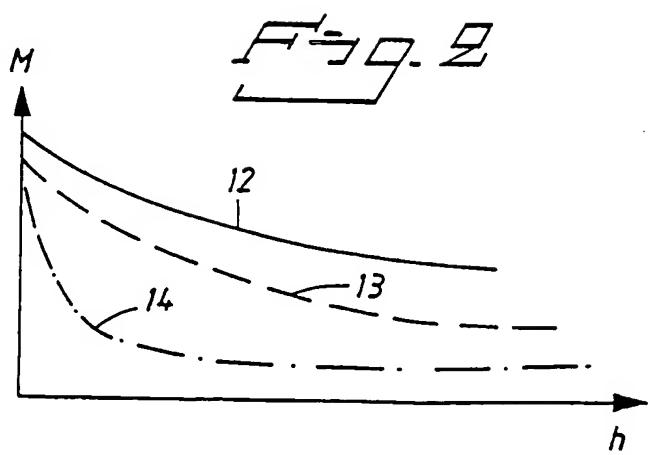
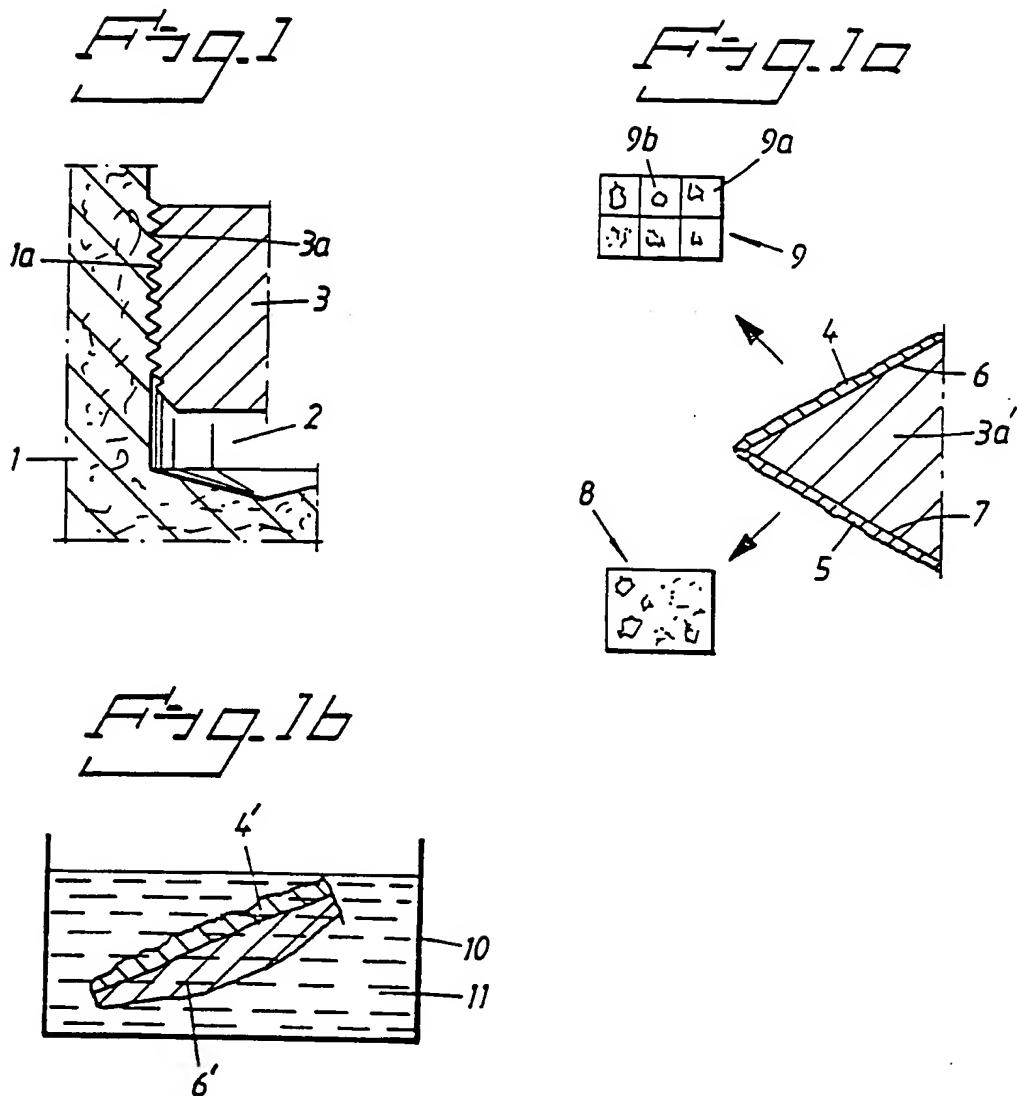
20. Use according to Patent Claim 19, characterized in that it is used on the thread or threads of the implant and/or on the area above the thread or threads.
21. Use according to Patent Claim 19 or 20,  
5 characterized in that it is used in holes involving soft and/or reduced bone.
22. Implant comprising or consisting of titanium and having one or more surfaces which can be applied in or on tissue areas and/or bone growth areas, one or  
10 more of the said surfaces being arranged with a depot for bone-growth-initiating or bone-growth-stimulating substance, for example a substance belonging to the superfamily TGF- $\beta$ , which depot is formed by a pore arrangement in a relatively thick oxide layer on the  
15 titanium, characterized in that the oxide layer has a thickness in the range of 1 - 20  $\mu\text{m}$ , for example 2 - 20  $\mu\text{m}$ .
23. Implant according to Patent Claim 22, characterized in that the oxide layer has a surface  
20 roughness in a range of 0.4 - 5  $\mu\text{m}$ .
24. Implant according to Patent Claim 22 or 23, characterized in that the oxide layer is highly porous, with  $1 \times 10^7$  -  $1 \times 10^{10}$  pores/ $\text{cm}^2$ .
25. Implant according to Patent Claim 22, 23 or 24,  
25 characterized in that each surface essentially has pores with diameter sizes in the range of 0.1 - 10  $\mu\text{m}$ , and/or in that the total pore volume is within a range of  $5 \times 10^{-2}$  and  $10^{-5} \text{ cm}^3$ .
26. Method for producing, on an implant comprising  
30 or consisting of titanium, and by means of anodic oxidation, relatively thick oxide layers on one or more titanium surfaces which are intended to be placed against or arranged adjacent to one or more tissue and/or bone growth areas, in which method at least the  
35 part or parts bearing the said surface or surfaces are prepared and immersed in electrolyte and the implant is brought into contact with an electrical energy source above the electrolyte surface, and the oxidation process is established by also connecting to the energy

- 25 -

source a counter-electrode which is arranged in the electrolyte, characterized in that diluted inorganic acids, diluted organic acids and/or small quantities of hydrofluoric acid or hydrogen peroxide are added to the 5 electrolytic composition, and in that the energy source is chosen to operate at a voltage value or voltage values in the range of 150 - 400 volts.

27. Method according to Patent Claim 26, characterized in that the voltage is varied for the 10 same implant at different times in order to create different pore sizes within the same surface areas.

28. Method according to Patent Claim 26 or 27, characterized in that the position of the implant in the electrolyte is changed, together with the 15 composition of the electrolyte and/or the voltage, in order to create different oxide thicknesses and/or areas with different porosity or pore characteristics.



2/5

Fig. 3

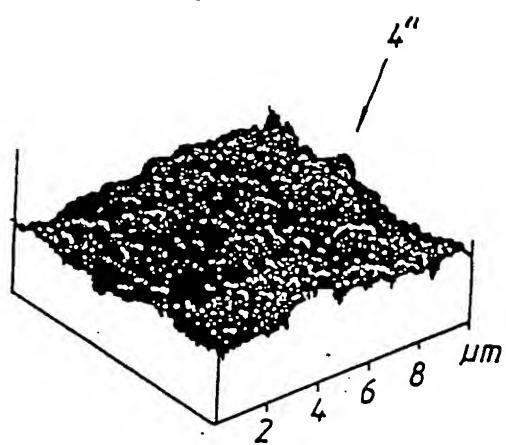


Fig. 4

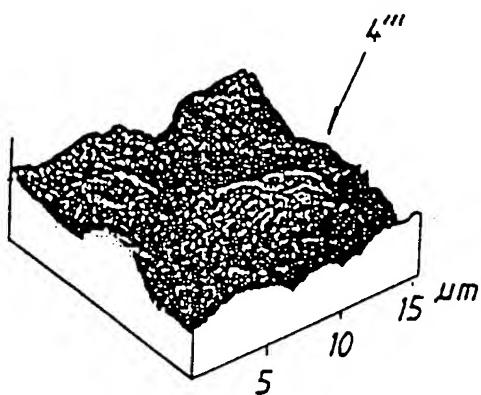
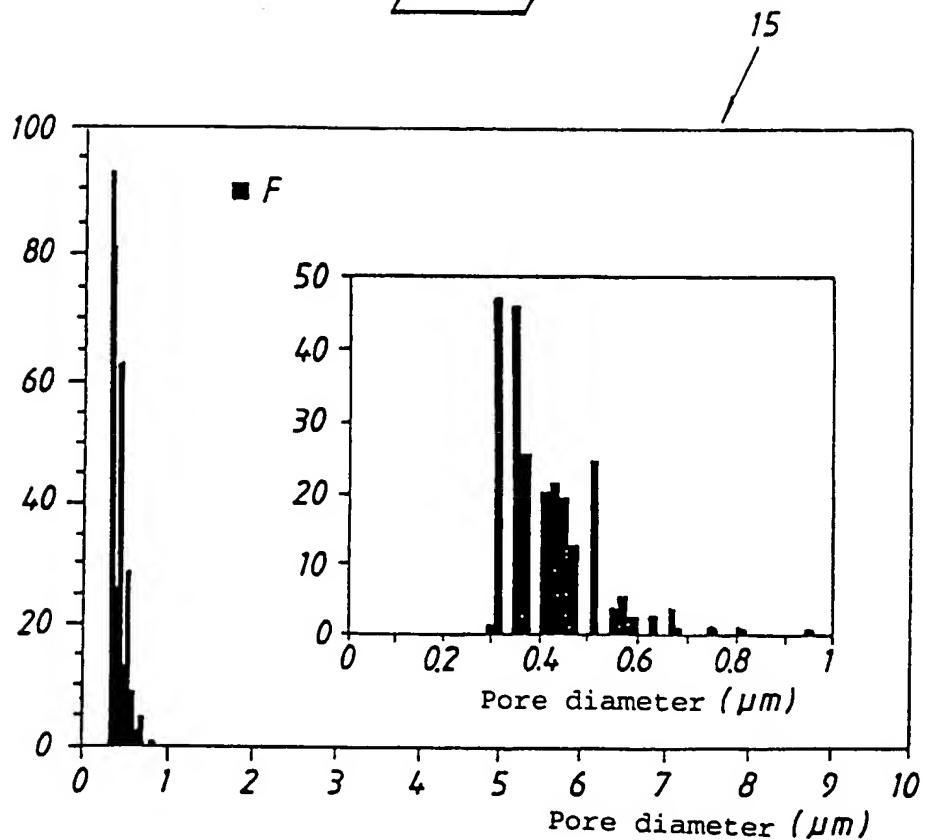
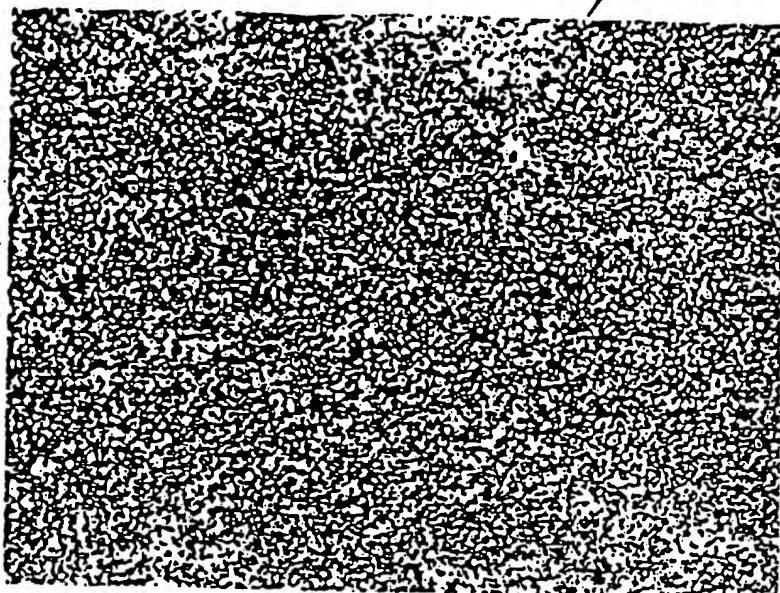


Fig. 5



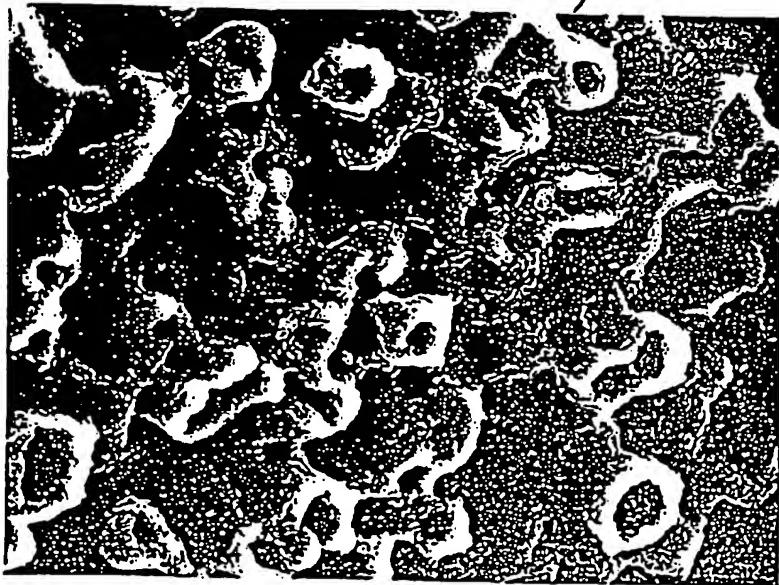
3/5

Fig. 6



16

Fig. 7



17

4 / 5

Fig. 8

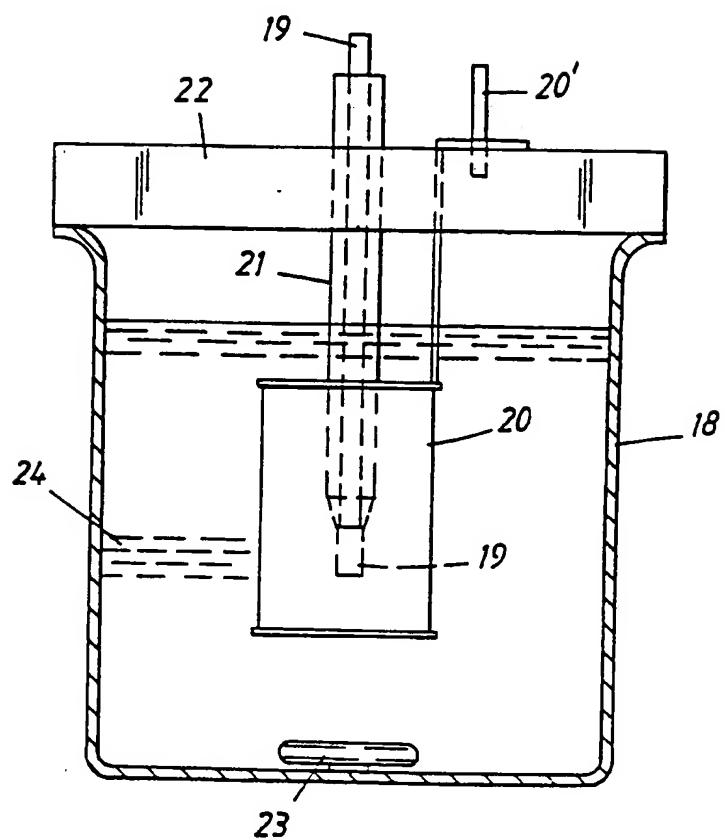
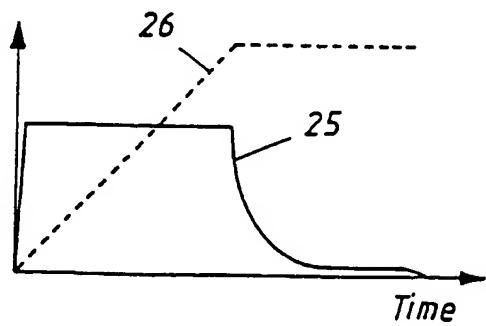


Fig. 9



5 / 5

F-79-10

Electrolyte	U (V)	I (mA)	Time (s)	Pore diam. ( $\mu\text{m}$ )	Pore density ( $10^8/\text{cm}^2$ )	Porosity (%)	Oxide thickness ( $\mu\text{m}$ )
0.35M $\text{H}_2\text{SO}_4$	250	300	400	n.a.			9.2-13.5
0.35M $\text{H}_2\text{SO}_4$	250	800	300	n.a.			19.1-21.3
1.0M $\text{H}_2\text{SO}_4$	200	200	400	n.a.			
0.35M $\text{H}_2\text{SO}_4$ +160 min.	200	200		0.28-	0.45	5.65	5.8-6.5
0.35M $\text{H}_2\text{SO}_4$ etched	200	200	300	0.06-	2.48	6.47	3.5-7.0
0.15M $\text{H}_2\text{SO}_4+$	300	200	300	0.31-	0.078	4.16	2.2-2.8
0.25M $\text{H}_2\text{SO}_4+$	300	200	300	0.31-	0.080	7.84	2.9-6.5
0.35M $\text{H}_2\text{SO}_4+$	300	1400	300	0.31-	0.060	10.69	3.6-6.5
					4.06		3.6-11.0

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 00/01027

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61C 8/00, A61L 27/04, A61L 27/54

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61C, A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9313815 A1 (LUCOCER AKTIEBOLAG), 22 July 1993 (22.07.93) --	1-15,19-28
A	US 4330891 A (PER I. BRÄNEMARKET AL), 25 May 1982 (25.05.82) --	1-15,19-28
A	US 5354390 A (KÁROLY HASZMANN ET AL), 11 October 1994 (11.10.94) -----	1-15,19-28

 Further documents are listed in the continuation of Box C. See patent family annex.

- \* Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

18 Sept 2000

Date of mailing of the international search report

20 -09- 2000

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. + 46 8 666 02 86

Authorized officer

Jack Hedlund/Els  
Telephone No. + 46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE00/01027

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: **16-18**  
because they relate to subject matter not required to be searched by this Authority, namely:  
**See PCT Rule 39.1(iv) : Methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods.**
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).:

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.  
 No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.

01/08/00

PCT/SE 00/01027

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9313815 A1	22/07/93	AT 189401 T AU 3269993 A CZ 9301643 A DE 69230645 D EP 0623031 A,B FI 933532 A JP 7506732 T NO 932844 A SE 469653 B,C SE 9200072 A US 5464440 A	15/02/00 03/08/93 16/02/94 00/00/00 09/11/94 10/08/93 27/07/95 08/10/93 16/08/93 14/07/93 07/11/95
US 4330891 A	25/05/82	AT 127680 A AT 399096 B BE 881953 A CA 1157694 A CH 653245 A DE 3007446 A,C,R DK 96880 A ES 489204 A FI 800706 A FR 2450599 A,B GB 2045083 A,B IE 49186 B IT 1130275 B IT 8020341 D JP 1033180 B JP 1838708 C JP 5345014 A JP 55120864 A LU 82222 A NL 185390 B,C NL 8001241 A NO 149373 B,C NO 800651 A SE 416175 B,C SE 7902035 A	15/08/94 27/03/95 16/06/80 29/11/83 31/12/85 18/09/80 08/09/80 16/08/80 08/09/80 03/10/80 29/10/80 21/08/85 11/06/86 00/00/00 12/07/89 25/04/94 27/12/93 17/09/80 06/06/80 01/11/89 09/09/80 02/01/84 08/09/80 08/12/80 08/09/80
US 5354390 A	11/10/94	DE 4311772 A FR 2689911 A,B HU 213001 B HU 9201220 D	14/10/93 15/10/93 28/01/97 00/00/00